

ATTACHMENT D: Counterterrorism Compliance Form 2018-2019

In compliance with the spirit and intent of the USA PATRIOT Act and other counterterrorism laws, the United Way for Cortland County requests that each applicant agency (“Organization”) certify that it is in compliance with the United Way for Cortland County and the United Way of America’s (“UWA”) compliance program.

Organization Name: _____

This Organization is not on any federal terrorism “watch lists,” including the list in Executive Order 13224, the master list of specially designated nationals and blocked persons maintained by the Treasury Department, and the list of Foreign Terrorist Organizations maintained by the State Department.

Comply _____ **Does Not Comply** _____

This Organization does not, will not and has not knowingly provided financial, technical, in-kind or other material support or resources* to any individual or entity that is a terrorist or terrorist organization, or that supports or funds terrorism.

Comply _____ **Does Not Comply** _____

This Organization does not, will not and has not knowingly provided or collected funds or provided material support or resources with the intention that such funds or material support or resources be used to carry out acts of terrorism.

Comply _____ **Does Not Comply** _____

This Organization does not, will not and has not knowingly provided financial or material support or resources to any entity that has knowingly concealed the source of funds used to carry out terrorism or to support Foreign Terrorist Organizations.

Comply _____ **Does Not Comply** _____

This Organization does not re-grant to organizations, individuals, programs and/or projects outside of the United States of America without compliance with IRS guidelines.

Comply _____ **Does Not Comply** _____

This Organization takes reasonable steps to certify against fraud with respect to the provision of financial, technical, in-kind or other material support or resources to terrorists and terrorist organizations.

Comply _____ **Does Not Comply** _____

This Organization takes reasonable, affirmative steps to ensure that any funds or resources distributed or processed do not fund terrorism or terrorist organizations

Comply _____ **Does Not Comply** _____

* In this form, “material support and resources” means currency or monetary instruments or financial securities, financial services, lodging, training, expert advice or assistance, safe-houses, false documentation or identification, communications equipment, facilities, weapons, lethal substances, explosives, personnel, transportation, and other physical assets, except medicine or religious materials.

I certify on behalf of the Organization listed above that the foregoing is true.

Print Name: _____ Title: _____

Signature: _____ Date: _____

ATTACHMENT E: Certification Checklist 2018-2019

Yes _____ **No** _____ Is your organization recognized as exempt from taxation under Section 501(c) (3) of the Internal Revenue Code as well as from corresponding provisions of other applicable state and local laws and regulations?

Yes _____ **No** _____ Does your organization file a Form 990? If not, why?

Explanation:

Yes _____ **No** _____ Does your organization have an annual audit conducted by an independent certified public accountant? Organizations with annual revenue of less than \$250,000 may have their financial statements reviewed by an independent accountant.

Yes _____ **No** _____ Does your organization have an active, responsible and voluntary governing body which ensures effective governance over policies and financial resources of the organization?

Yes _____ **No** _____ Does your organization adhere to a locally developed and adopted code of ethics for volunteers and staff which includes provisions for ethical management, publicity, fundraising practices and full and fair disclosure? If not, why?

Explanation:

Yes _____ **No** _____ Have you completed the Counterterrorism Compliance Sheet?

Yes _____ **No** _____ Have you included the following sections (1-8 and A-E) with your grant proposal in the required format?

<p><i>I have included, as part of my paper copies (5 copies each) AND electronic Word Document application, 15 page maximum:</i></p>	<p><i>I have included, as part of my electronic application only:</i></p>
<p>_____ 1. Cover Sheet - 1 page maximum _____ 2. Program Methodology Narrative _____ 3. Outcomes Narrative _____ 4. Outcomes Chart - 2 pages maximum _____ 5. Budget Narrative _____ 6. Budget Worksheet _____ 7. Collaboration & Partnerships Table - 1 page maximum _____ 8a. Organizational Summary - NEW APPLICANTS ONLY OR _____ 8b. Outcomes Success – 17/18 AWARD RECIPIENTS ONLY</p>	<p>_____ 9. Community Impact Story – 17/18 AWARD RECIPIENTS Word Document, attach jpg files if appropriate _____ Attachment A: Most Recent Annual Report and Audit _____ Attachment B: Roster of Board Members and Dates _____ Attachment C: Most Recent Budget or Balance Sheet _____ Attachment D: Counterterrorism Compliance Form _____ Attachment E: Certification Checklist</p>

Disclosures Notices

By submitting this RFP and signing below, we certify that the above information is true and correct to the best of our knowledge. We understand that submission of this year’s funding request does not guarantee United Way for Cortland County funding at any level or in any consecutive year. We also understand that the UWCC Panel assigned to review this funding request may take the authority to reassign our request to a specific community impact focus area or to the discretionary funding area, if necessary or appropriate.

Program Name _____

Organization Name _____

Board President Signature _____ **Date** _____

Executive Director Signature _____ **Date** _____

Program Director Signature _____ **Date** _____